

# OSD EF Report

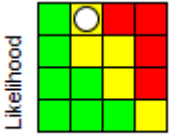
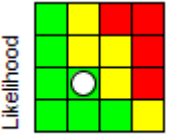
Report Author: Martin Falder

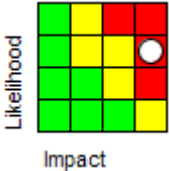
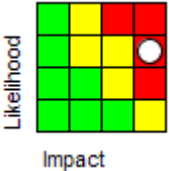
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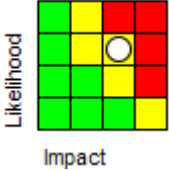
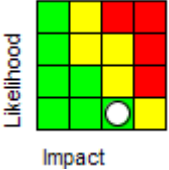


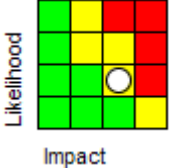
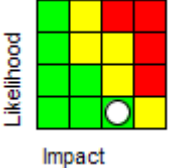
Code & Title: OSD Department of Open Spaces Risk Register 1 OSD EF Epping Forest 15

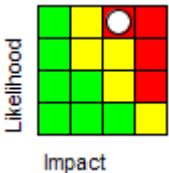
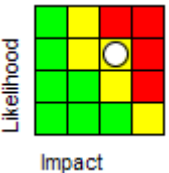
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
OSD EF Epping Forest		Paul Thomson				

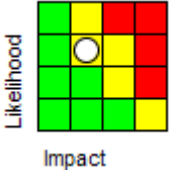
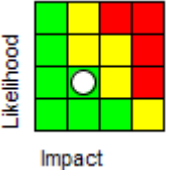
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 001</b> <b>Increase in Health and Safety incidents/Catastrophic Health &amp; Safety failure</b>	<p>Causes: Poor understanding and/or delivery of Health and Safety policies and procedures; Failure to link work activity with adequate procedures; risk assessments and safe systems of work not undertaken or completed incorrectly; inadequate appropriate training; failure to implement the results of audits.</p> <p>Event: Staff, volunteers contractors or licensees undertake unsafe working practices</p> <p>Impact: Injury or death of staff, volunteer(s), contractor(s) or licensee(s), volunteer or member of the public. Prosecution by HSE and/or Police; increased insurance premiums; harm to City's reputation. Fine from HSE</p>	Paul Thomson	 Likelihood Impact <b>8</b>	Biennial Peer Review of Health (due Nov 2015) Contractor Protocol Introduced (April 2015) Annual licensee Health & Safety checks.	 Likelihood Impact <b>4</b>	31-Mar-2016

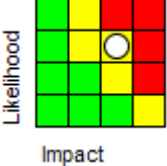
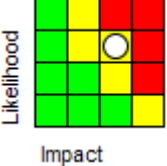
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 002</b> <b>Decline in Assets condition</b>	Causes: Poor maintenance, failure to implement recommendations. Event: Failure to meet statutory regulations and checks. Buildings deteriorate to unusable/unsafe condition. Impact: Poor condition of Assets, loss of value, cost of repair. Fines from Local Authority, and other statutory bodies.	Paul Thomson	 24	Regular meetings with City Surveyors. Long term planning. Regular Asset inspections. Budget set aside for carrying out recommended works.	 24	31 – Mar – 2016

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 003</b> <b>Declining Site of Special Scientific Interest (SSSI)/Special Area of Conservation (SAC) Condition</b>	<p>Causes: Lack of grazing pressure; Invasive Non Native Species (INNS); anthropogenic nitrogen deposition; atmospheric pollution; and climate change.</p> <p>Event: Unfavourable assessment by Natural England. (Due?).</p> <p>Impact: Decrease in % SSSI area in favourable condition (currently 35.42%); decrease in %SSSI area in unfavourable recovering (currently 48.24%); loss of grant funding; harm to City's reputation. Fines from Natural England and Defra</p>	Paul Thomson	 12	<p>Work programme focused on SSSI/SAC recovery Projects</p> <p>Countryside Stewardship Grant programme focused on Wood Pasture Restoration (until 2018)</p> <p>Heritage Lottery Fund programme investment in Grazing Expansion Plan 2013 to 2018</p>	 4	31-Mar-2016

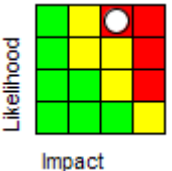
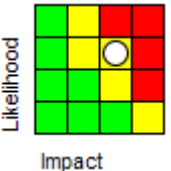
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 004</b> <b>Raised</b> <b>Reservoirs</b>	<p>Causes: Inadequate design, insufficient prescribed maintenance, leaks compromising dam integrity, failure to implement Panel Engineer's Recommendations, failure to keep dams clear of vegetation; failure to maintain Blue Books, failure to evaluate large water body capacities; disputed ownership/responsibility for one LRR</p> <p>Event: Severe rainfall event resulting in overtopping of embankments, leading to erosion of dam and potential collapse</p> <p>Impact: Loss of life. Damage to downstream land/property. Litigation. Risk of prosecution. Reputational harm. Damage to/loss of habitat and associated rare species. Fines from EA</p>	Paul Thomson	 8	<p>Biannual Panel Engineer Inspections of 5 Large Raised Reservoirs(LLR) and 3 Monitored Sub-LRRs Works completed to eagle Pond (201?) and Highams Park Lake Dam LRR (2015)</p> <p>Scoping evaluations underway for Baldwins Pond and Birch Hall Park Pond</p> <p>Awaiting outcome of EA review of all EF LRRs</p> <p>Internal inspection regime (under H&amp;SAWA) for RR under statutory thresholds.</p> <p>Eagle Pond outward dam toe to be surveyed. Temporary overflow fitted to Birch Hall Pond to stop risk of overtopping.</p>	 4	30-Apr-2017

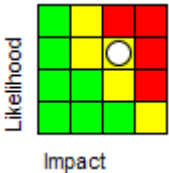
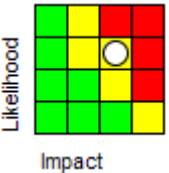
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<b>OSD EF 005</b> <b>Reductions in Grant Funding</b>	<p>Causes: City of London facing austerity efficiencies; Revisions to EU 'Common Agriculture Policy (CAP) regulation; transition to Basic Payment Scheme (BPS) and UK interpretation and tightening of qualifying eligibility criteria.</p> <p>Event: Reduction deficit funding from the City of London; Reductions in direct grant available from the Environment Agency or Rural Payments Agency (RPA) to deliver agricultural/conservation activity, especially conservation grazing.</p> <p>Impact: Reduction in income. Reduction or cessation of agricultural/conservation activity, including negative impact on grazing partnership Reduction/loss of biodiversity.</p>	Paul Thomson	 <b>16</b>	<p>EF required to find further £492k of Local Risk savings/increased income Reduction of grant in the order of 10–12% has been made which has been offset by claims for other areas.</p> <p>Further regulation and inspections are likely to further constrain the ability to claim on commons available for grazing. Excess entitlements sold or transferred</p>	 <b>12</b>	31–Oct–2017

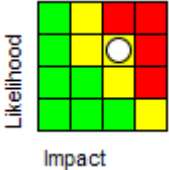
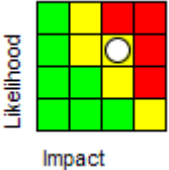
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 006</b> <b>Financial</b> <b>Management</b>	<p>Causes: Failure to deliver to spend profile may result in loss of budget; Lack of skills/capacity to deliver income generation projects; unrealistic initial targets and deadlines; Risk associated with reliance on CBT bid for much of year one savings</p> <p>Event: Division is unable to deliver spend to profile or income generation programmes to agreed targets and timescales. Adverse workload impact on service delivery</p> <p>Impact: Alternative savings from salaries required that may undermine core activities.</p>	Paul Thomson	 6	<p>Good budget profile performance for 2013/14 and 2014/15</p> <p>SBR projects are currently not in line with required timetable. Aggregating and refining budgets to improve monitoring</p>	 4	31-Mar-2016

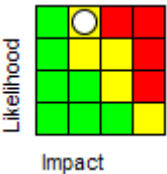
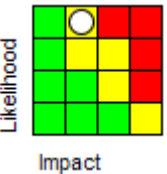
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<b>OSD EF 007 Pathogens</b>	<p>Causes: Lack of adequate controls on international trade encourages transmission of pathogens; inadequate site biosecurity; and spread of novel pathogens responding to changes in climate presence of suitable hosts.</p> <p>Event: Sites become infected by pathogens causing diseases which lead to the decline or loss of key species</p> <p>Impact: loss or decline of key species; temporary site closures; increased costs for biosecurity, monitoring and reactive maintenance. Threat to existing conservation status of sites, particularly those with woodland habitats.</p>	Paul Thomson	 12	<p>Biosecurity measures are in place for staff, volunteers and contractors.</p> <p>AOD/SOD Monitoring programme in place</p> <p>Massaria of London Plane monitoring in place</p> <p>Pilot treatments of Horse Chestnut infected with Bleeding Canker.</p> <p>A year of monitoring.</p>	 12	31-Mar-2016

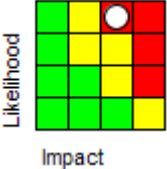
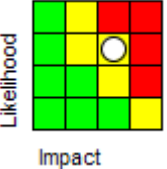


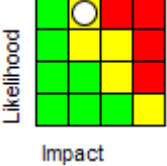
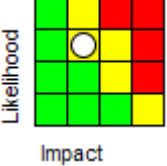
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<b>OSD EF 008 Invasive Non Native Species (INNS)</b>	<p>Causes: Lack of adequate controls on international trade encourages transmission of invasive non-native species; inadequate site biosecurity often through conscious public release of INNS within Forest</p> <p>Event: Sites become occupied by INNS which can lead to the decline, hybridisation or loss of key native species due to out-competition/disease transmission. Some INNS have health protection issues particularly moths producing urticating hairs and terrapins carrying <i>Salmonella</i> (DT 191a)</p> <p>Impact: loss or decline of key species; temporary site closures; increased costs of monitoring and control. Threat to existing conservation status of sites.</p>	Paul Thomson	 16	Lackey/Brown Tail/Oak Processionary/Gypsy Moth monitoring programme in place Pilot treatments of Horse Chestnut infected with Leaf Miner Moth.	 12	31-Mar-2016

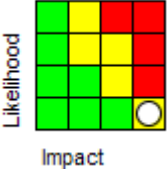
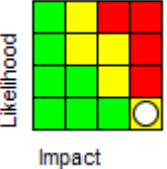
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 009 Severe Weather Events</b>	<p>Causes: Severe gale and storm events, prolonged precipitation/increased precipitation events or restricted precipitation increasing Fire Severity.</p> <p>Event: Severe weather events including periods of drought; flooding; gales; and increased Fires Severity.</p> <p>Impact: Risk of injury or death to staff, visitors, contractors and volunteers. Loss of habitat/public access and intensification of visitor pressure on other areas of Forest; Damage/loss of rare/fragile habitats and species; Incidents increase demand for staff resources to respond to maintain public and site safety; loss of species, temporary site closures; increased costs for reactive management.</p>	Paul Thomson	 12	<p>Weekly monitoring of Weather Warnings; Fire Severity Index; Hydrological Outlook and Water Situation Reports.</p> <p>Emergency Plan in place (to be reviewed (2016).</p> <p>Fire Plan in place and annual cutting of firebreaks (completed by Sept 2015)</p> <p>Annual hazardous tree survey to minimise tree failures</p>	 12	31-Mar-2016

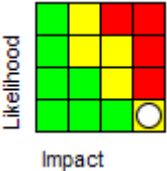
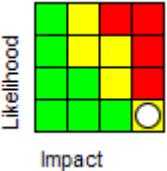
Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 010 Development Consents close to Forest Land</b>	<p>Causes: Lack of suitable protections in EF Acts; Planning Authorities obligations to meet housing targets. Failure to monitor and challenge housing and other development plans. Lack of resources to employ specialist support or carry out necessary monitoring/research</p> <p>Event: Large housing; transport infrastructure or other developments on land affecting Epping Forest.</p> <p>Impact: Change in character to the context and setting of Forest Land. Potential increase in visitor numbers and recreational pressure. Increased in air, light and noise pollution and consequent potential decline in biodiversity and tranquillity. Further increases in traffic volumes on local road network.</p>	Paul Thomson	 <p>12</p>	<p>Current activity centres around Epping Forest District Council and duty to cooperate partners and reviews of Housing targets; Green Belt Review and Local Plan.</p> <p>Continued objection with partnership with other organisations to NGAR</p> <p>Continued scrutiny of four LPA weekly planning lists</p> <p>Watching brief and involvement in all relevant consultations.</p>	 <p>12</p>	31-Mar-2021

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 011</b> <b>Wanstead Park</b> <b>– Heritage at Risk Register</b>	<p>Causes: Grade II* Registered Park and Garden Wanstead Park has been on the “Heritage at Risk” register since 2009, listed as in declining condition. Further restoration by four landowners is required to halt deterioration in condition and secure continued abstraction licence.</p> <p>Event: Failure to complete</p> <p>Impact: Continuing deterioration of at risk heritage features; education and interpretation opportunities missed; deteriorating state impacts negatively on the City’s reputation Fines from English Heritage in respect of listed buildings</p>	Paul Thomson	 <b>8</b>	Hydrology Study completed identifying shortfalls in water budget Conceptual Options and Cost Plan to address Heritage at Risk being developed. Potential Heritage Lottery Bid to be considered by EFCC	 <b>8</b>	31– Mar– 2021

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 012</b> <b>Loss of Forest Land and/or concession of prescriptive rights</b>	<p>Causes: Lack of single definitive reference point for Epping Forest boundaries and accesses.</p> <p>Event: Failure to recognise encroachments or legal limitation by the failure to act within a reasonable period of time.</p> <p>Impact: compromising statutory responsibility through loss of Forest Land to encroachment; concession of prescriptive rights and loss of potential income; significant costs and jeopardy of litigation in recovering rights; harm to City of London's reputation as Conservators</p>	Paul Thomson	 <b>16</b>	<p>Initial Registration completed with Land Registry.</p> <p>Status of 32 disputed areas to be determined by Working Group and EFCC</p> <p>Legal advice to be sought on key issues</p> <p>Survey of wayleaves to be completed.</p> <p>Improvement in liaison and knowledge</p>	 <b>12</b>	31 – Mar – 2016

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 013</b> <b>Loss of knowledge in skilled staff/Difficulties in recruitment</b>	Cause: Previous reliance on memory-based rather than documentary records; Retirements amongst ageing workforce; Remuneration and benefits package increasing uncompetitive for market sector Event. Loss of knowledge and skills. Impact. Extra training needs, difficulty in recruitment or induction of new staff	Paul Thomson	 <b>8</b>	Succession Strategy in place	 <b>6</b>	31-Mar-2016

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 014</b> <b>Major Incident</b> <b>resulting in</b> <b>prolonged</b> <b>'Access Denial'</b>	Causes: Pandemic; Human error, mechanical failure or deliberate act of terrorism. Event: Major incident, terrorism,; evacuation of East London; aircraft crash; failure of underground services; major pollution incident from M25 Pollution from septic tanks or cattle buildings. Impact: damage to and loss of Forest habitat; threat to existing conservation status of sites; reduced income from licensees unable to trade; costs of remediation and staff engagement. Fines from EA for pollution incidents	Paul Thomson	 <b>8</b>	Local Authority Civil Contingency Plans Epping Forest Emergency Plan	 <b>8</b>	

Risk No. & Title	Risk Description (Cause, Event, Impact)	Risk Owner	Current Risk Rating & Score	Risk Update	Target Risk Rating & Score	Target Date
<b>OSD EF 015</b> <b>Public</b> <b>behaviour</b>	Causes: Crime, irresponsible dog owners, rough sleepers, User conflict, trespass, alcohol. Event: Fly tipping, litter, dog fouling, dog attacks, abandoned/burnt out vehicles, traveller incursions, anti-social behaviour Impact: Bad PR, injury to visitors, insurance claims, police exclusion zones, rise in crime rates, illegal occupancy of Forest land. Increase in costs of managing public behaviour	Paul Thomson	 <b>8</b>	Fly tipping reward scheme Dog control orders now Public Space Protection orders. Local Authority and Police liaison around rough sleepers and travellers. Rough sleeper protocol(Aug 2015)	 <b>8</b>	